

MAR 06 2007

Regular Correspondence:
195 North Harbor Drive, Suite 5403, Chicago Illinois 60601-7542

Docketed Correspondence:
Post Office Box 7131, Chicago Illinois 60680-7131

Peter K. Trzyna, Esq.

Telephone: (312) 240-0824 Facsimile: (312) 240-0825

E-mail: pkt-law@sbcglobal.net

Fax

To: MS: No Fee Amendment
Art Unit 3624

Re: 10/816,014 Revocation of Power of
Attorney with New Power of Attorney and
Change of Correspondence Address

Firm: United States Patent and Trademark Office

Date / Time: March 6, 2007

Street Address:

Phone:

City, State Zip: Alexandria, VA 22313

Fax: (571) 273-8300

cc:

No. of Pages: 12 (including cover)

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Message:

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CENTRAL FAX CENTER**PATENT MAR 06 2007**

I hereby certify that this correspondence is being filed by facsimile and is addressed to MS: No Fee Amendment, The Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Paper No.

File: Ryan -P1-04

Date: March 6, 2007Signed: _____
Peter K. Trzyne (Reg. No. 32,801)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor	:	Raymond B. Ryan
Serial No.	:	10/816,014
Filed	:	April 1, 2004
For	:	EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM
Group Art Unit	:	3624
Examiner	:	

MS: No Fee Amendment
The Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Transmitted herewith for filing in the above-identified patent application are the following:

1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address;
2. Notice of Recordation recorded at Reel 017295, Frame 0307; and
3. Statement Under 37 CFR 3.73(b).

Applicant claims **small entity status**. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

10/816,014

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: March 6, 2007

Peter K. Trzyna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824

MAR 06 2007

PTO/SB/82 (01-08)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/816,014
Filing Date	April 1, 2004
First Named Inventor	RYAN, Raymond B.
Art Unit	3624
Examiner Name	Unknown
Attorney Docket Number	Ryan-P1-04

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

28710

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

28710

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Raymond B. Ryan

Date

Feb. 20, 2007

Telephone

(203) 202-2144

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 14, 2006

PTAS

700245050A

PETER K. TRZYNA, ESQ.
P.O. BOX 7131
CHICAGO IL 60680-7131

700245050A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/20/2006

REEL/FRAME: 017295/0307
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ENGEL, WENDY J.

DOC DATE: 12/20/2005

ASSIGNEE:

RYAN, RAYMOND B.
58 RINGS END ROAD
DARIEN, CONNECTICUT 06820

SERIAL NUMBER: 10816014

FILING DATE: 04/01/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

017295/0307 PAGE 2

STEVEN POST, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

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PATENT

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I hereby certify that this paper or fee is being filed by facsimile, and is addressed to MS: Recordation Division, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:

Date: February 20, 2006Signed: 
Peter K. Trzyna (Reg. No. 32,601)

Paper No.

File: Ryan -P1-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	:	Raymond B. Ryan
Serial No.	:	10/816,014
Filed	:	April 1, 2004
For	:	EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEM
Group Art Unit	:	3624
Examiner	:	

MS: Recordation Division
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application is the following:

1. Assignment; and
2. Recordation Cover Sheet.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

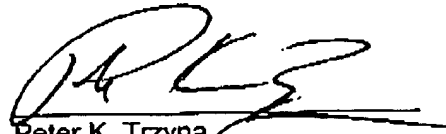
10/816,014

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: February 20, 2006

P.O. Box 7131
Chicago, IL 60680
(312) 240-0824


Peter K. Trzyna
(Reg. No. 32,601)

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02/20/2006
700245050Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies) ENGEL, Wendy J., Norwalk, CT 06850 Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>Raymond B. Ryan</u> Internal Address: _____ Street Address: <u>58 Rings End Road</u> City: <u>Darien</u> State: <u>Connecticut</u> Country: <u>USA</u> Zip: <u>06820</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance/Execution Date(s): Execution Date(s) <u>December 20, 2005</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>10/818,014</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Peter K. Trzyna, Esq.</u> Internal Address: _____ Street Address: <u>P.O. Box 7131</u> City: <u>Chicago</u> State: <u>Illinois</u> Zip: <u>60680-7131</u> Phone Number: <u>(312) 240-0824</u> Fax Number: <u>(312) 240-0825</u> Email Address: _____	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41) <u>\$40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title) 8. Payment Information a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>50-0235</u> Authorized User Name <u>Peter K. Trzyna, Esq.</u>
9. Signature: <u>Peter K. Trzyna, Esq.</u> Signature: _____ Name of Person Signing: <u>Peter K. Trzyna, Esq.</u>	Date: <u>February 20, 2006</u> Total number of pages including cover sheet, attachments, and documents: <u>8</u>

Documents to be recorded (including cover sheet) should be filed to (PTO) 273-6140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1480, Alexandria, VA 22313-1480

CH \$40.00 500235 10815014

**RECEIVED
CENTRAL FAX CENTER****MAR 06 2007****ASSIGNMENT**

WHEREAS, Raymond B. Ryan is the named inventor for U.S. Patent Application Ser. No. 10/816,014, titled "Equity Based Incentive Compensation Plan Computer System" and filed April 1, 2004;

WHEREAS, Wendy J. Engel and Raymond B. Ryan are the named inventors for U.S. Patent Application No. 10/375,770, titled "System and Method to Minimize Accounting Volatility From Owning Equities and Other Investment Assets" and filed February 27, 2003;

WHEREAS, Wendy J. Engel and Raymond B. Ryan wish to pursue separate businesses and to facilitate so doing, hereby enter this agreement to assign ("Agreement") as set forth below their interests and/or claims to the above named U.S. patent applications.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by Wendy J. Engel from Raymond B. Ryan is hereby acknowledged for a first assignment, and in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by Raymond B. Ryan from Wendy J. Engel is hereby acknowledged for a second assignment, Wendy J. Engel and Raymond B. Ryan agree as follows:

Raymond B. Ryan quit claims and by these presents does hereby quit claim any and all right he, his successors and assigns, may have, including the right to sue and collect for past damages, in and to U.S. Application No. 10/375,770. This quit claim is to Wendy J. Engel as described above as the first assignment.

Wendy J. Engel quit claims and by these presents does hereby quit claim any and all right she, her successors and assigns, may have, including the right to sue and collect for past damages, in and to U.S. Application No. 10/816,014. This quit claim is to Raymond B. Ryan as described above as the second assignment.

Both of the above quit claims respectively constitute a sale, assignment, transfer, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the other, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions disclosed or described in the above-identified respective patent applications and any and all rights thereto, including the above-identified respective patent applications, any and all United States and foreign patents which may be granted pursuant thereto, and any and all United States and foreign applications, continuations,

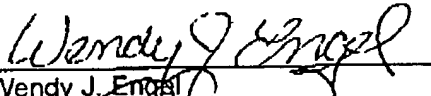
divisions, renewals, and substitutes thereof, as well as any reissues or reexamination patent(s); and each hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said respective inventions to the corresponding assignee its successors, and assigns, in accordance with the terms of this instrument.

Each assignor agrees to communicate to the respective assignee, its successors, legal representatives, and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and generally do everything possible to aid the respective assignee, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection in accordance with this agreement.

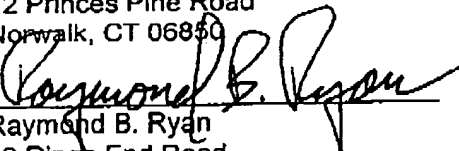
The effective date of this Assignment is July 18, 2005.

IN TESTIMONY WHEREOF, the Grantors of the Quit Claims and the Assignors have executed this agreement.

DATED: Dec. 20, 2005


Wendy J. Engel
12 Princes Pine Road
Norwalk, CT 06850

DATED: August 16, 2005


Raymond B. Ryan
58 Rings End Road
Darien, CT 06820

MAR 06 2007

PTO/SB/98 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Raymond B. RyanApplication No./Patent No.: 10/816,014 Filed/Issue Date: April 1, 2004Entitled: EQUITY BASED INCENTIVE COMPENSATION PLAN COMPUTER SYSTEMRaymond B. Ryan, a Individual
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017295, Frame 0307, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Raymond B. Ryan
Signature

Raymond B. Ryan

Printed or Typed Name

2-20-2007

Date

(203) 202-2144

Telephone Number

Assignee
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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